



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/937,396	12/06/2001	Jean-Sebastien Coron	032326-169	9410

21839 7590 06/29/2005

BUCHANAN INGERSOLL PC
(INCLUDING BURNS, DOANE, SWECKER & MATHIS)
POST OFFICE BOX 1404
ALEXANDRIA, VA 22313-1404

EXAMINER

PATEL, NIRAV B

ART UNIT	PAPER NUMBER
----------	--------------

2135

DATE MAILED: 06/29/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/937,396

Applicant(s)

CORON, JEAN-SEBASTIEN

Examiner

Nirav Patel

Art Unit

2135

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 06 December 2001.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-13 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-13 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date 1 (9/26/2001).
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____.

DETAILED ACTION

1. This action is in response to the application filed on 12/06/2001.
2. Claims 1-13 are under examination.

Specification

This application does not contain an abstract on a separate sheet. An abstract on a separate sheet is required.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claims 1, 3, 5, 7, 9-11 and 12 are rejected under 35 U.S.C. 102(e) as being anticipated by Julio ("Improved Algorithms for Elliptic Curve Arithmetic in $GF(2^n)$ ", 1998).

As per claim 1, Julio discloses:

an *elliptical curve type public key encryption algorithm* [page 1 “**elliptic curve arithmetic**”], wherein a point P on the elliptical curve is represented by *projective coordinates* (X, Y, Z) such that $x = X/Z$ and $y = Y/Z^2$, x and Y being the *coordinates of the point on the elliptical curve* in terms of affine coordinates [page 7 “**projective point $P = (X, Y, Z)$ has nonzero Z , then P can be represented by the projective point $(x, y, 1)$, where $x = X/Z$ and $y = Y/Z^2$** ”] said curve comprising n elements and being defined on a finite field $GF(p)$, where p is a prime number and the curve has the equation $y^2 = x^3 + a*x + b$, or defined on a finite field $GF(2^n)$ [page 2 “**Elliptic curves over $(GF(2^n))$** ”], with the curve having the equation $y^2 + x*y = x^3 + a*x^2 + b$, where a and b are integer parameters [page 7 “**the projective equation of the affine equation $y^2 + xy = x^3 + ax^2 + b$** ”].

a point P represented by projective coordinates (X_1, Y_1, Z_1) , calculating $X'_1 = 1^2 * X_1$, $Y'_1 = 1^3 * Y_1$ and $Z'_1 = 1 * Z_1$, to define the *coordinates of the point $P' = (X'_1, Y'_1, Z'_1)$* [page 7 “**a projective plane P^2 is defined to be the set of equivalence classes of triple (X, Y, Z) , not all zero, where (X_1, Y_1, Z_1) and (X_2, Y_2, Z_2) are said to be equivalent if there exists $\lambda \in GF(2^n)$, $\lambda \neq 0$ such that $X_1 = \lambda X_2$, $Y_1 = \lambda^2 Y_2$ and $Z_1 = \lambda Z_2$** ”];

calculating an output point $Q = 2P$ that is represented by projective coordinates (X_2, Y_2, Z_2) [page 1 “**the calculation of $Q = mP$, for P a point on the elliptic curve and m an integer, is the core operation of elliptic curve public-key cryptosystems**” page 8 “**the projective form of the doubling formula is $2(X_1, Y_1, Z_1) = (X_2, Y_2, Z_2)$** ”].

Art Unit: 2135

As per claim 3, the rejection of claim 1 is incorporated and further Julio disclose:

replacing (i.e. set) X_0 with $1^2 \cdot X_0$, Y_0 with $1^3 \cdot Y_0$ and Z_0 with $1 \cdot Z_0$ [**page 6** “Set $V \leftarrow x^2$, $D \leftarrow V$, $W \leftarrow Y$, Set $V \leftarrow V^2 + T$ ”]

calculating $R = P + Q$ [**page 12** “Output: projective coordinates (X_2, Y_2, Z_2) for the point $P_2 = P_0 + P_1$ ”].

As per claim 5, the rejection of claim 1 is incorporated and is rejected for the same reason set forth in the rejection of claim 3 above.

As per claim 7, the rejection of claim 5 is incorporated and is rejected for the same reason set forth in the rejection of claim 3 above.

As per claim 9, the rejection of claim 1 is incorporated and further Julio disclose:

The algorithm returning as an output the $Q = d \cdot P$ [**page 1** “the calculation of $Q = mP$, for P a point on the elliptic curve and m an integer”], according to the following steps:

- 1) Initializing the point Q with the value P ;
- 2) Replacing Q with $2 \cdot Q$;
- 3) If $d(t-1) = 1$ replacing Q with $Q+P$;
- 4) For i ranging from $t-2$ to 0 executing the steps of:
 - 4a) Replacing Q with $2Q$;

Art Unit: 2135

4b) If $d(i) = 1$, replacing Q with $Q+P$; and

5) Returning Q [**page 6 “Algorithm 1: Repeated doubling points”**].

As per claim 10, 11, 12, the rejection of claim 1 is incorporated. These claims are rejected for the same reason set forth in the rejection of claim 9 above.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 2, 4, 6 and 8 are rejected under 35 USC 103 (a) for being unpatentable over Julio (“Improved Algorithms for Elliptic Curve Arithmetic in $GF(2^n)$ ”, 1998) and further in view of Jerome (“An Improved Algorithm for Arithmetic on a Family of Elliptic Curves” 1998).

As per claim 2, the rejection of claim 1 is incorporated and further Julio disclose:

the elliptical curve is defined on the finite field $GF(p)$ (or $GF(2^n)$), and the step of calculating Q [**page 1 “in this paper we discuss efficient method for implementing elliptic curve arithmetic”**], The first method is new formula for doubling a point, i.e.

Art Unit: 2135

for calculating the sum of equal point”, “we also note that our formula can be applied to composite finite field as well”, Page 3 “Schroeppel [6] improved the doubling point formula saving the multiplication by the constant b” “3 A New Doubling Point Formula”].

Julio doesn't explicitly disclose the singular algorithm to perform squaring, elliptic group operation, multiplication and addition-subtraction steps of the claim 2.

However, Jerome discloses the algorithm to perform squaring, elliptic group operation, multiplication and addition-subtraction **[page 358 squaring, page 359 group operation, page 360 multiplication, page 361 addition-subtraction method]**.

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to incorporate the teaching of Jerome into the teaching of Julio to use the algorithm to perform different steps. The modification would be obvious because one of ordinary skill in the art would be motivated to do so because this improved version of the algorithm which runs 50% faster than any previous version **[Jerome, page 357]**.

As per claim 4, the rejection of claim 1 is incorporated and is rejected for the same reason set forth in the rejection of claim 2 and 3 above.

As per claim 6, the rejection of claim 1 is incorporated and is rejected for the same reason set forth in the rejection of claim 2.

As per claim 8, the rejection of claim 5 is incorporated and is rejected for the same reason set forth in the rejection of claim 2 and claim 3 above.

5. Claim 13 is rejected under 35 USC 103 (a) for being unpatentable over Julio ("Improved Algorithms for Elliptic Curve Arithmetic in $GF(2^n)$, 1998) and further in view of Vanstone et al (US Patent No. 6,141,420).

As per claim 13, the rejection of claim 1 is incorporated and Julio doesn't disclose that electronics component is a smart card.

However Vanstone discloses smart card (which utilize public key cryptography) [*col. 1 lines 10-15* "the increasing use and sophistication of data transmission in such fields as telecommunications, networking, cellular communication, wireless communications, "smart card" applications, audio-visual and video communications has led to an increasing need for systems that permit data encryption, authentication and verification"].

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to incorporate the teaching of Vanstone into the teaching of Julio to use public key cryptosystem in a smartcard. The modification would be obvious because one of ordinary skill in the art would be motivated to use public key schemes, which reduce the size of the public key [Vanstone, *col.1 lines 49-51*].

Conclusion

6. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Victor S. Miller ("Use of Elliptic Curves in cryptography" 1986) discloses the current bounds for infeasible attack, it appears to be about 20% faster than the Diffie-Hellmann scheme over GF (p).

Michael J. Wiener ("Faster Attacks on Elliptic Curve Cryptosystems", 1998) discloses that these curve are particularly well suited to this attack because the size of the space searched is reduced by factor of 2^m , which reduces the expected running time by factor of $\sqrt{2^m}$.

Eric Von York ("Elliptic Curve over Finite Fields, 1992) explains the implementation and improvements of three algorithms which are designed to determine the number of points on an elliptic curve E over a finite field.

Reyanld Lercier ("Finding good Random Elliptic curves for Cryptosystems defined over IF_{2^n} " 1997) discloses that current implementation runs from 2 up to 10 times faster than what was done previously. In the second part, a slight change of Schoof's algorithm to choose curves with a number of points "nearly" prime and so construct cryptosystems based on random elliptic curves instead of specific curves as it used to be.

Crandall et al (US 6,307,935) discloses the present invention takes advantage of a quadratic-only ambiguity for x-coordinates in elliptic curve algebra as a means for encrypting plaintext directly onto elliptic curves.

Miyaji (US 5,497,423) discloses the present invention provides a method of implementing digital signatures or verification and a privacy communication.

Alfred J. Menezes ("Elliptic Curve Public key Cryptosystems" 1993) discloses: these systems potentially provide equivalent security as the existing public key schemes, but with shorter key lengths.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nirav Patel whose telephone number is 571-272-5936. The examiner can normally be reached on 8 am - 4:30 pm (M-F).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kim Vu can be reached on 571-272-3859. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

NBP
6/21/05


KIM VU
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100